

Next Steps–BIG Tiers 1 and 2

Boating Infrastructure Grant Program

This document is for applicants that submitted a preliminary application by the May 17, 2021 deadline for the Boating Infrastructure Grant (BIG) Program. It will guide you through the next steps of your Recreation and Conservation Office (RCO) grant application. All applicants must submit the information required through [PRISM Online](#), RCO's online project data system.

NOTE: for all documents, forms, and manuals referenced here, go to the [grant Web page](#) and [grant manuals Web page](#).

Step 1: Applicant Submits Application by July 21, 2021

PRISM Online Application

Applicants should log into PRISM Online, open the application they already started, and make any necessary revisions. Use the "Check Application for Errors" button on the "Submit" screen to make sure everything has been completed.

Required PRISM Attachments

To be considered for funding, an application must contain the information below. Attachments must be formatted to fit on 8.5" X 11" paper, with 1" margins at the top, bottom, and both sides, and page numbers at the bottom of the page. Fonts must be no less than 12 point Arial, Times New Roman, or other commonly used font.

In accepting federal funds, applicants must comply with all applicable federal laws, regulations, and policies. If RCO selects the application for award, applicants must provide evidence of compliance with the National Environmental Policy Act, the Endangered Species Act, the National Historic Preservation Act, and other federal laws as part of the post-award approval process.

- Assurances for Construction Programs–424D** (development projects only). Applicants must provide a signed copy of this federal standard form.
- Authorizing Resolution/Application Authorization** (due by the technical completion deadline) shows the application is supported by the elected council or commission, board, or executives of the applicant's organization. Applicants must adopt the text verbatim, even if they use their own format.

- ❑ **Budget Narrative (10-page Limit).** Explain and justify all requested budget items and costs. Demonstrate a clear connection between costs and the proposed project activities. Describe resources the applicant used to develop cost estimates for the project. See “Detailed Instructions for Attachments” below.
- ❑ **Certification of Applicant Match** (due by the technical completion deadline) to show what amounts and sources of match are in hand for the project.
- ❑ **Control and Tenure Documentation.** Include property ownership information such as a deed, and any applicable lease, easement, or use agreement. Include documentation for both uplands and aquatic lands where work will occur. See *Manual 4, Development Projects*, for additional details about control and tenure.
- ❑ **Evaluation: Packet Visuals (20-page Limit).** The proposal will be scored, in part, on the quality of the access provided for eligible boaters to significant destinations, services, and other amenities. See “Detailed Instructions for Attachments” below.
- ❑ **Evaluation: Project Statement (10-page Limit).** A concise project statement that addresses the required elements must be included in the application. In general, the project statement must provide sufficient information so reviewers may verify that the proposed activities are eligible for funding and substantial in character and design. See “Detailed Instructions for Attachments” below.
- ❑ **Evaluation: Project Summary (1-page Limit).** Briefly summarize the project. Include the title of the project, geographic location, and a brief overview of the need for the project. Goals; specific, measurable, attainable, relevant, and time-bound (SMART) objectives; specific project activities that would be funded; anticipated outputs and outcomes also should be included.
- ❑ **Evaluation: Written Criteria Responses–TIER 2 ONLY (12-page Limit).** Provide written responses to the evaluation criteria. See “Detailed Instructions for Attachments” below.
- ❑ **Map: Boundary Map–Draft** shows the extent of the site covered by the grant agreement. RCO and the grant recipient use the map to ensure compliance with the project agreement. Factors to consider when establishing boundary maps include project scope, a complete recreation or public access experience, site management unit or area, and past RCO grant assistance at the site. See *Manual 4, Development Projects*, for additional details and boundary map requirements.
- ❑ **Map: Regional Location.** One, single-sided page that shows the location of the project in a geographic region of the state. The regional map should identify the county, incorporated areas, major highways, and water bodies, etc., so reviewers can locate the general worksite to within a few miles.

- ❑ **Map: Site Location.** One, single-sided page showing the specific location of the project. This map must show the project in relation to local roads, park or ranger district boundaries, water bodies, landmarks, etc. If possible, show the boundaries of the site on the map.
- ❑ **Photo.** All applications require at least one general project photograph in .jpg format. A current ground shot or aerial view of the project site is appropriate.
- ❑ **RCO Fiscal Data Collection Sheet.**
- ❑ **Site Plan: Development Site Plan** to show the proposed improvements at the project site. Development site plans should show site boundaries, major site features, and proposed project elements. Existing and future elements should be distinguished from those in the current project. Master, schematic design, development design, and construction document level plans are suitable for development site plans.

Note that letters and other documented expressions of project support or concern submitted with the application will be made available for advisory committee review. Applicants also should summarize this when responding to the evaluation questions. **All letters must be combined into one PDF and attached to the project in PRISM.**

Also note that except for a few protected items, such as cultural resources data or confidential appraisal documents, the application and most attachments will be available for review by RCO staff, evaluators, and the public as soon as the application is started.

Step 2: Technical Review–July 26-August 13, 2021

RCO sends your application to the evaluation committee for review. RCO also reviews the application for eligibility, completeness, and clarity and then returns the application with committee feedback, questions, or suggested changes.

Step 3: Re-Submit Application by Technical Completion Deadline August 31, 2021

- ❑ Applicants address issues raised during technical review and make modifications, if needed, and **resubmit** the application in PRISM Online by the technical completion deadline or the application will be deemed ineligible.
- ❑ **Authorizing Resolution/Application Authorization** (if not already submitted). Attach a signed resolution form. This shows the application is supported by the elected council or commission, board, or executives of the organization. The text must be adopted verbatim even if a different format is used.
- ❑ **Certification of Applicant Match** (if not already submitted) to show what amounts and sources of match are in hand for the project.

Step 4: TIER 1 Evaluations–not applicable in 2021

The RCO-appointed Boating Programs Advisory Committee will review and evaluate projects to provide a ranked list for consideration. RCO's director will approve the final list of projects to be sent to the federal government for funding consideration.

RCO then announces the results of the evaluation and posts the preliminary ranked lists on its Web Site.

Step 4: TIER 2 Evaluations–National Review Panel–October 2021–April 2022

RCO's director will approve the list of Tier 2 proposals to be sent to the U.S. Fish and Wildlife Service without state evaluation. Once the Service's regional office accepts an application, it is sent to the National Review Panel. The panel scores and ranks projects and submits a funding recommendation to the U.S. Fish and Wildlife Service director, who makes the final funding decision, anticipated in spring 2022.

RCO then announces the results of the evaluation and posts the projects on its Web Site.

Step 5: Applicants Submit Pre-Agreement Materials–Spring-Summer 2022

- ❑ Applicants provide information necessary to complete federal permitting, including National Environmental Policy Act, Section 106 (cultural and historic resources), Section 7 (Endangered Species Act), and U.S. Army Corps of Engineers.
- ❑ Applicants provide any other requested pre-agreement materials as required by RCO or the U.S. Fish and Wildlife Service.

Step 6: Grants Awarded and RCO Issues Agreements–Summer-Fall 2022

- ❑ The U.S. Fish and Wildlife Service approves the grant awards and notifies RCO.
- ❑ RCO works with the applicant to execute a project agreement before work begins.
- ❑ Applicants review other RCO policy manuals, including the following:
 - *Manual 7, Funded Projects*
 - *Manual 8, Reimbursements*
- ❑ Applicant reviews the Successful Applicant Workshop materials, then completes the project.

Detailed Instructions for Attachments

- ❑ **Budget Narrative (10-page limit).** Describe and justify all requested budget items and costs. For personnel salary costs, include the baseline salary figures and the estimates of time. Describe any item of cost that requires prior approval under the federal cost principles. See [2 CFR 200.407](#) "Prior written approval (prior approval)" for more information. If equipment purchased previously with federal funds is available for the project, provide a list of that equipment and identify the federal funding source. Identify any cash or in-kind contributions that a partner or other entity will contribute to the project and describe how the contributions directly and substantively benefit completion of the project. For in-kind contributions, include the source, the amount, and the valuation methodology used to determine the total value. See [2 CFR 200.306](#) "Cost sharing or matching" for more information.
- **Match and Other Partner Contributions:** Identify the cash and in-kind contributions that you, a partner, or other entity contribute to the project and describe how the contributions directly and substantively benefit completion of the project. Be sure to clearly state the amount of actual cash match you are providing. See [50 CFR 86.32-33](#) for more information.
 - **Contingency Costs:** Contingency costs estimated using broadly accepted cost estimating methodologies are permissible but must be separately identified in your budget. They must comply with Federal Cost Principles. They must be necessary and reasonable for proper and efficient accomplishment of the project or program objectives and must be verifiable from the applicant's financial records ([2 CFR 200.433](#)). Explain how any contingency costs were calculated and why they are necessary to improve the precision of the budget estimates.
 - **Proration:** Costs for facilities that will benefit operators of boats other than transient recreational vessels at least 26 feet long must be prorated. Common examples of costs that often must be prorated include fuel docks, restrooms and showers, retaining walls, bulkheads and breakwaters, pumpout stations, dredging, and other features that are expected to partially benefit ineligible users. You do not need to allocate costs between user groups when you propose to construct, renovate, or maintain facilities solely for eligible users, or when you propose to produce information and education materials. This list is not exhaustive; therefore, applicants should contact RCO if they are unsure of the need to prorate costs or if they believe proration is not required based on the information below. For each discrete project component or element, clearly state the following:
 - *The basis or method used to allocate costs between eligible and ineligible users.* For example: The facility has slips for 100 vessels, and 20 are dedicated for transient recreational vessels. The prorating basis would be

20 percent. If the applicant proposes to build a wave attenuator that will benefit the entire facility, the applicant may charge only 20 percent of the construction costs of the wave attenuator to the project.

- *Your reasoning and evidence supporting use of this method.* Include relevant documentation to validate the basis for allocating costs between eligible and ineligible users, such as facility use records or trends.
- *Why prorating is not necessary (if applicable).* If a proposed facility, component, or element that is primarily designed to benefit eligible users happens to provide a secondary, tangential benefit to ineligible users, or if the value of a project component or element is \$5,000 or less, the applicant does not have to prorate costs. For more guidance, see [50 CFR 86.19](#). However, RCO recommends that the applicant fully explain the approach in the application as uncertainty about how prorated costs were decided can negatively impact the evaluation score.

Note: After submitting the proposal, RCO may contact the applicant to clarify the proration basis or method, or to negotiate a more equitable allocation before award.

- **Program Income (note: this is uncommon).** Program income is gross income earned by the applicant or sub-recipient that is directly generated by a supported activity, or earned as a result of the grant, during the grant period. Estimate the amount of program income that the project is likely to generate (see [50 CFR 86.90](#)). If necessary, indicate the method or combination of methods (deduction or addition) of applying the expected program income (see [50 CFR 86.77](#) and [50 CFR 86.78](#) for more information). If necessary, RCO will request the Wildlife and Sport Fish Restoration Program regional director's approval for the additive method before the deadline and include documentation of the method and approval in this section and in attachments. Note that program income that is not approved for use as additive before the obligation of BIG funds will be applied using the deductive method.
- **Equipment:** Equipment means tangible personal property (including information technology systems) having a useful life of more than 1 year and a per-unit acquisition cost that equals or exceeds the lesser of the capitalization level established by the non-federal entity for financial statement purposes, or \$5,000. Provide a list of equipment to be purchased with BIG funds, if any.
- **Useful Life:** Estimate the useful life in years of each capital improvement for the proposed project. A capital improvement is typically a structure that costs at least \$25,000 to build, or a repair or renovation of a structure costing at least \$25,000 that increases the structure's useful life by 10 years or more (see [50 CFR 86.3](#)). If awarded a grant, you will be required to explain how the useful life of each

capital improvement was estimated. You must reference a generally accepted method used to determine useful life of a capital improvement; however, the estimates do not need to be certified by a licensed engineer or other professional. You may be required to revise or adjust useful life estimates during the approval process. See [50 CFR 86.73](#) and [50 CFR 86.74](#).

- ❑ **Evaluation: Packet Visuals (20-page Limit).** Your proposal will be reviewed, in part, on the quality of the access you provide for eligible vessels to significant destinations, services, and other amenities. Visuals should be a combination of photographs or other graphics such as schematic drawings, renderings, etc. Applicants may submit visuals with one image per page and/or in a collage style with several images per page. All visuals must be combined into one PDF and attached to the project in PRISM.

The visuals/graphics below should include a north arrow, scale, and labels for any major highways, roads, cities, navigable water bodies, etc.

- Site development plan that shows the proposed improvements at the site. Development site plans should show project boundaries, major site features, and proposed project elements. Existing and future elements should be distinguished from those in the current project. Master, schematic design, development design, and construction document level plans are suitable for development site plans.
- The location of the project site using Global Positioning System (GPS) coordinates in the following format: degrees:minutes:seconds.
- All existing structures, facilities, and amenities. Use photos, ground shots, and aerials to adequately display the site and proposed improvement areas.
- All proposed project components.
- Clearly marked areas that are for (1) eligible transient recreational vessels, (2) areas that are for others, and (3) areas that are for shared use.
- Water depths including before and after planned dredging, if applicable.
- If dredging is proposed, you must include an aerial photograph or schematic drawing to indicate the specific areas to be dredged.
- Measurements for all docks, bulkheads, breakwaters, and other features where boats will be accommodated.
- Any other information that will help reviewers identify project components, prorating criteria, or other factors involved with ranking.
- A small state map that shows the general location of the project.

- A local map that shows the facility location and the nearest community, public road, and navigable water body.
- Maps or images that show proximity or distances to significant destinations, services that support eligible users, terrain considerations, access, or other information applicable to your project.
- Any other maps, photos, and graphics that support the information in the project statement.

❑ **Evaluation: Project Statement (10-page Limit).** A concise project statement that addresses the elements below must be included in the application. In general, the project statement must provide sufficient information so reviewers may verify that the proposed activities are eligible for funding and substantial in character and design. Please see the Division of Wildlife and Sport Fish Restoration Program’s [financial assistance guidance](#) Web site for more details and examples. Identify any applicable federal permits and status of permits associated with the application.

Note: images that show existing structures and facilities, the proposed grant-funded facility, and relevant details, such as the number of transient slips or the amenities for eligible users should be included in the “Evaluation: Packet Visuals” attachment.

1. **Need:** Explain why the project is necessary and how it fulfills the purpose of BIG.
 - a) Describe existing facilities available for eligible vessels at the location and near the proposed project; and
 - b) Describe how the proposed project fills a need or offers a benefit not offered by existing facilities; and
2. Give information to support the number of transient boats expected to use the facilities in the proposed project area and demonstrate why existing facilities are insufficient to meet demand.
3. **Purpose:** State the ultimate purpose for the proposed project and link the purpose to the demonstrated need.
4. **Objectives:** The Service created Standard Objectives to standardize performance reporting and document national accomplishments that deliver results consistent with the BIG’s authorizing legislation and program regulation. To avoid delay, your project narrative must use only one or more of the Standard Objectives listed in the [TRACS Performance Matrix](#). We encourage you to work with your RCO grants manager if you have questions about the use of the TRACS Standard Objectives in your project narrative. Please refer to the Service’s [Wildlife and Sport Fish Restoration Program Training Portal site](#) for example project statements that use TRACS Standard Objectives.

5. **Results or Benefits Expected:**

- a) Describe the expected results or benefits from accomplishing the objectives.
- b) Describe each capital improvement (see [50 CFR 86.3](#)), service, or other product that will result from the project.
- c) Describe how the structures, service, or other products will address the needs and benefits for eligible users.

6. **Approach:**

- a) Describe the approach to be used in meeting the objectives.
- b) Describe the methods, designs, and/or procedures to be used to achieve the objectives, providing enough information on the status of required permits or other compliance requirements (National Environmental Policy Act, Section 7 of Endangered Species Act, and Section 106 of the National Historic Preservation Act) for reviewers to make a preliminary assessment.
- c) Identify the project officer who has or will have detailed knowledge of the project. State whether she or he is the federal assistance coordinator for the state agency. Give the contact information, and state whether he or she has signatory authority for committing the grant recipient to a course of action.
- d) Give the name, contact information, qualifications, and role of each known concessioner or sub-recipient.
- e) Explain how the applicant will exercise control to ensure the grant-funded facility continues to achieve its authorized purpose during the useful life of the facility.
- f) Provide a timeline of activities.

7. **Relationship with Other Grants:** Describe any relationship between the proposed project and other related work funded by federal grants that is planned, anticipated, or underway. You also must list any previous federal grants with the applicable Financial and Business Management System (FBMS) #, if one exists, that this application is related too. If this application is related to a previously awarded Wildlife and Sport Fish Restoration Program grant explain the reason for submission (adding funds, scope change, additional work, etc.)

- Evaluation: Written Criteria Responses–TIER 2 ONLY (12-page Limit).** Applicants must provide written responses to the evaluation criteria. Respond to each question individually.

A. Meet a Documented Need, Improve Eligible Boater Access, and Demonstrate Cost-efficiency (20 points.)

1. Will the proposed boating infrastructure meet a need for more or improved facilities? (0-10 points)

In evaluating a proposed project under this criterion, we consider whether the project will do any of the following:

- a. Construct new boating infrastructure in an area that lacks it, but where eligible vessels now travel or would travel if the project were completed.
 - b. Renovate a facility to improve its physical condition, follow local building codes, improve safety, or adapt it to a new purpose.
 - c. Create accessibility for eligible vessels by reducing wave action, increasing depth, or making other improvements.
 - d. Expand a facility that is unable to accommodate current or projected demand by eligible vessels.
 - e. Make other improvements to accommodate an established need.
2. Will eligible users receive benefits from the proposed boating infrastructure that justify the cost of the project? (0-7 points)

Evaluators will consider the total cost of the project, the benefits made available to eligible users, and the objectivity or reliability of the data and information used to demonstrate benefits relative to costs. Relate costs and benefits to the need for the project (See [50 CFR 86.43\(a\)](#)). Evaluators may consider the availability of existing structures and amenities, but only in the context of the identified need. Because costs vary depending on local factors, evaluators do not use a cost per slip to compare projects. Describe any factors that would influence costs such as the following:

- a. The need for specialized materials to meet local codes; address weather, future sea level rise, or terrain; or extend useful life.
- b. Increased transportation costs due to facility location.
- c. Other factors that may increase costs but support needed benefits.

Describe any costs associated with providing a harbor of safe refuge, if applicable.

3. Will the proposed boating infrastructure accommodate boater access to significant destinations and services that support transient boater travel? (0-3 points)

In evaluating a proposed project under this criterion, reviewers consider the following:

- a. The degree of access that the grant-funded facility will provide.
- b. Activities, events, or landmarks near the facility, how well known they are, how long they are available, and how likely they are to attract boaters to the facility.
- c. The availability of services and the degree of safety at and around the facility, the ease of access to these services, and how well they meet the needs of eligible boaters.

B. Meet Match Requirements and Demonstrate Partnerships (10 points)

- 1. Will the proposed project include private, local, or state funds greater than the required minimum match? (0-7 points)

As given in [50 CFR 86.56](#), reviewers will award points under this criterion as noted below. Please note that while in-kind services and materials may be included in the minimum 25 percent match requirement, the proposal will be scored only on this criterion for additional cash match.

Percent Cash Match	Points
26–30	1
31–35	2
36–40	3
41–45	4
46–50	5
51–80	6
81 or higher	7

- 2. Will the proposed project include contributions by private or public partners that contribute to the project objectives? (0-3 points)

Partners may include non-federal entities such as sub-grantees, private businesses, state agencies other than RCO, nonprofit organizations, or federal agencies other than the U.S. Fish and Wildlife Service. To be considered a partner, the entity must commit a financial or in-kind contribution or take a voluntary action that is necessary for, and directly and substantively contributes to, completion of the project. See [50 CFR 86.55](#) and [86.57](#) for additional guidance. In evaluating proposed projects under this criterion, the reviewers consider the following:

- a. The significance of the contribution to the success of the project.
- b. How the contribution supports the actions proposed in the project statement.

- c. How the partner demonstrates its commitment to the contribution.
- d. The demonstrated ability of the partner to fulfill its commitment.

C. Demonstrate Innovation and Environmental Stewardship (6 Points)

- 1. Will the proposed project include physical components, technology, or techniques that improve eligible user access? (0-3 points)

In evaluating a proposed project under this criterion, the reviewers consider whether the project will increase the availability of the grant-funded facility for eligible users or improve eligible boater access to the facility. Describe whether you will be doing the following:

- a. Using a new technology or technique.
- b. Applying a new use of an existing technology or technique.

Reviewers will consider if you choose to complete the project using an optional or advanced technology or technique. If choosing to go beyond the minimum technical requirements for a project component, you must describe the current standard and how you will exceed the standard. The reviewers will not award points for following standards set by law.

- 2. Will the proposed project include innovative physical components, technology, or techniques that improve the grant-funded project? (0-2 points)

The reviewers will consider if the project will include physical components, technology, or techniques that are newly available, or repurposed in a unique way. Examples include components, technology, or techniques that will do the following:

- a. Extend the useful life of the project.
- b. Are designed to help save costs, decrease maintenance, or improve operation.
- c. Are designed to improve services or amenities for grant-eligible users.
- d. Reduce the carbon footprint of the facility.
- e. Reduce negative environmental impacts (beyond compliance requirements).
- f. Improve facility resilience.

- 3. Has the facility where the project is located demonstrated a commitment to environmental compliance, sustainability, and stewardship and has an

agency or organization officially recognized the facility for its commitment? (0-1 point)

The reviewers will consider if the application documents that the facility has received official recognition for its voluntary commitment to environmental compliance, sustainability, and stewardship by exceeding regulatory requirements. The official recognition must be part of a voluntary, established program administered by a federal or state agency, local governmental agency, Sea Grant or equivalent entity, or a state or regional marina organization. The program must require the facility to use management and operational techniques and practices that will ensure it continues to meet the high standards of the program and must contain a component that requires periodic review. The facility must have met the criteria required by the program and received official recognition by the due date of the application.